

**Karnataka Samskrit University**  
**Chamaraj Pet, Bangalore 18**

**Draft of Common Regulations Governing the Degree of Doctor of Philosophy (Ph.D) of  
Karnataka Sanskrit University**

(Framed under Section 44 read with section 31 (2) (ii) of the KSU Act, 2000, supplied by issued by the  
Karnataka State Higher Education Council)

**Preamble**

The University Grants Commission has considered and approved the U.G.C. Minimum Qualifications Required for the Appointment and Career Advancement of Teachers in Universities and affiliated Institutions (3<sup>rd</sup> Amendment) Regulations 2009 and also the U.G.C. Minimum Standards and Procedures for Award of M.Phil / Ph.D. Degree Regulations 2009, which have been notified vide Gazette of India dated 11.07.2009, and has directed all Universities vide letter No.F.No.1-1 (2002) (PS) Pt file III, dated 20.08.2009 to amend the concerned Regulations accordingly..

As per the Regulation of Minimum Qualifications Required for the Appointment and Career Advancement of Teachers (3<sup>rd</sup> amendment), "NET / SLET shall remain the minimum eligibility condition for recruitment and appointment of Asst. Professors/Lecturers in Universities/Colleges/Institutions. Provided, however, that Candidates who are or have been awarded their Ph.D. Degrees in compliance with the `University Grants Commission (Minimum Standards and Procedure for Award of PhD Degree), Regulation 2009', shall be exempted from the requirement of minimum eligibility condition of NET/SLET for recruitment and appointment of Assistant Professor or equivalent positions in various Universities/Colleges/Institutions."

Meanwhile, the Karnataka State Higher Education Council has constituted a Committee under the Chairmanship of Prof. T.C.Shivashankara Murthy, Vice-Chancellor, Mangalore University vide Notification No. KSHEC/Committee/2010-11/369, dated 7.12.2010 to frame Common Regulations for conducting Doctoral Programme in Universities of Karnataka State.

Consequently, the Committee has framed Common Regulations governing the Degree of Doctor of Philosophy of Karnataka State Universities duly incorporating all the conditions/ procedures laid down by the U.G.C. in its Minimum Standards and Procedures for Award of PhD Degree Regulation 2009.

However, the KSHEC has noted the following at the end of its draft regulations, that provides some autonomy to the universities in specialized subjects to frame the guidelines suitable to their core disciplines.

- a. Notwithstanding anything contained in these Regulations, the Provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.
- b. The University shall issue such orders, instructions, etc., and prescribe such format, procedure, etc., as it may deem fit to implement the Provisions of these Regulations.
- c. If any difficulty arises in the implementation of these Regulations, the Vice-chancellor shall, in consultation with the Deans, be competent to issue necessary clarifications and at the earliest possible opportunity thereafter report the action taken by him to the Academic Council for ratification.

- d. If Medical/Technical/Agricultural/Horticulture/Veterinary Universities/Karnataka State Open University and universities in specialized field, face any difficulties in implementing this regulation in to, suitable guidelines may be framed by the concerned University and at the earliest possible opportunity thereafter report the action taken to the Academic Council for ratification.

Hence, Karnataka Sanskrit University has set up a committee to review the guidelines and modify the same as per the requirements of the Sanskrit University. The following is the draft prepared by the committee that suits to the requirement of this specialized university in the field of Sanskrit.

### **Salient Features**

1. Admissions shall be based mainly on the Entrance Test and an interview duly following the merit-cum-reservation policy of the State Government.
2. Only the pre-determined number of students shall be admitted to the Programme.
3. Allocation of Research Supervisor for a selected student shall be decided by the Department in a formal manner depending on the number of student vacancies per faculty member, the available specialization among the faculty guides and the student's research interest as indicated by the student during interview.
4. The selected student has to undertake course work which shall be treated as pre-PhD preparation.
5. Research work may be carried out either on full-time basis or on part-time basis.
6. Thesis shall be adjudicated by a Board of Adjudicators consisting of three examiners including the Research Supervisor, out of which preferably one shall be from outside the State/Country.
7. The Language of the thesis must be Sanskrit. In case of interdisciplinary subjects and in other special cases, the Vice Chancellor may accord approval for thesis in Kannada and English depending on the recommendation of the Dean of the concerned faculty.

### **01. Title and Commencement**

- 1.1. These regulations shall be called "**the Regulations Governing the Award of Ph.D – Vidyavaridhi degree of Karnataka Samskrit University – 2012**". (In accordance with the Common Regulations Governing the Degree of Doctor of Philosophy (Ph.D) of Karnataka State Universities, 2011)
- 1.2. These Regulations shall come into force from the date on which it is assented to by the academic council and syndicate of the University.

**02. Definitions:** In these Regulations, unless the context requires otherwise or it is specifically so defined,

- 2.1. **University** means Karnataka Samskrit University.
- 2.2. **Degree** means the Degree of Doctor of Philosophy – Vidyavaridhi (abbreviated as Ph.D - VV).

- 2.3. **Fee** means the fee prescribed by the University for the Ph.D programme.
- 2.4. **Entrance Test** means the test taken by the applicants who seek to qualify themselves for registration for Ph.D programme.
- 2.5. **Pre-registration Colloquium** means the presentation of the Ph.D proposal/outline prepared by the candidate before the Doctoral Committee to prove his/her preparedness to take up the research work.
- 2.6. **Course Work** means the courses prescribed as a part of Ph.D programme and which all the candidates shall successfully complete as a pre-requisite to start the preparation of Ph.D thesis.
- 2.7. **Research Supervisor/Guide** means the qualified teacher who is recognized by the University as Research Supervisor/Guide to guide the candidates for their Ph.D programme.
- 2.8. **Co-supervisor/Co-guide** means the recognized Research Supervisor who guides and supervises an inter-disciplinary Doctoral Thesis of a candidate as the second supervisor along with the Research Supervisor.
- 2.9. **Faculty Council** means the Council comprising the Heads of the University Faculty Departments who possess Ph.D degree.
- 2.10. **Departmental Council** means the Council comprising the teachers of the concerned University Departments who possess Ph.D degree.
- 2.10. **Doctoral Committee** means the Committee constituted by the University to assess and recommend the applications to the Board of Studies on the basis of suitability of the Ph.D applicant/research proposal for registration, and also to review the progress of the candidates, and to permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-submission colloquium.
- 2.11. **Board of Studies** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.
- 2.12. **Research Proposal/Outline** means a brief write-up in the form of research proposal on the proposed research work which shall be submitted by the candidate along with the application (Application – B) for provisional registration for Ph.D programme.
- 2.13. **Synopsis** means the final synopsis of the completed research work which shall be submitted by the candidate.
- 2.14. **Sponsored Candidate** means those permanent employees of educational and/or research institutions and organizations of public/private sector deputed to pursue Ph.D, including the permanent teachers on FIP/QIP.
- 2.15. **Foreign Students** means those who are foreign citizens and who have completed their master's degree or equivalent recognized by UGC as equivalent to P. G. Degree from a recognized foreign university/institution.
- 2.16. **Academic Council** means Academic Council of the University.

- 2.17. **Refereed/Reputed Journals** means a professional or literary journal or publication in which articles or papers are selected for publication by a panel of referees who are experts in the field, that has got ISBN no.

### **03. Subject/Title of Research and Nature of Research Programme**

- 3.1. The subject of research shall normally relate to the main branch of knowledge chosen by the applicant at the level of his/her Post-Graduate studies.
- 3.2. The candidate must possess a P.G. degree in Sanskrit or in any Shastric Discipline of Sanskrit from any recognised University.
- 3.2. **Inter-disciplinary Research:** However, a candidate who is willing to take up research in a subject other than the one chosen for Post-Graduate Degree and/or in a subject, which is of an inter-disciplinary nature namely Ayurveda, Yoga, Astronomy, linguistics and so on, shall also be eligible for registration. The candidates with P.G. Degree in modern sciences, engineering and arts subjects who want to pursue their research in Inter-disciplinary field involving Sanskrit, are also eligible provided they exhibit their knowledge of Sanskrit Language through any kind of evidence viz., their study of Sanskrit language as a part in their degree level or in any P.G diploma or diploma or equivalent course offered by any university.
- 3.2.1. The candidate shall be eligible for registration provided the research topic and the proposal are recommended by the faculty of the subject/ discipline wherein he/she wants to work for Doctoral Degree. In this case, the Degree shall be awarded in the subject/discipline of the Faculty in which he/she has applied/registered for Ph.D work. Further, if the Research Supervisor requires, a co-supervisor from another discipline (i.e., the subject chosen by the candidate at his/her Master's Degree) may be taken.
- 3.2.2. If the candidate wants to pursue the Ph.D Degree in the subject/ discipline other than the one which he/she has chosen for P.G Degree, then a special Board comprising of the chairpersons of both the Boards of Studies and Departments shall examine the proposal under the chairmanship of the Dean of concerned faculty. Further, the Degree shall be awarded in the subject/ discipline of the faculty to which the application is sent.
- 3.3. There shall be two types of Research Candidates – one, full time and another, part time. Part-time Ph.D programme is normally for the benefit of working persons such as teachers employed in P.G Departments of the University, affiliated/constituent colleges of the University or any other Indian University/Research Institution/Organization, and also those working as Librarians/Physical Education Personnel. However, there shall be no bar on others to take up the Doctoral work on part time basis.
- 3.4. The employees who take up Ph.D programme on full time basis shall produce a certificate from their employer-organizations for having sanctioned study leave. Other candidates who take up Ph.D programme on full time basis shall give an undertaking to the effect that they will not take up any job during the period of research. Only full time candidates will be eligible for scholarships if any.

### **04. Eligibility to apply for Admission to Ph.D Programme**

- 4.1. Any candidate who has obtained a Post-Graduate Degree from a recognized University including foreign students (sponsored by their Embassies) and sponsored candidates

securing not less than 55% marks at the Post-Graduate Degree shall be eligible to apply for the PhD Degree Programme.

- 4.2. In the case of SC/ST/Cat-I/Physically challenged candidates, and those who are appointed on regular basis prior to March 31, 1992 as teachers, librarians and physical education personnel in colleges and University Departments, the minimum marks shall be 50% provided they (i.e., employees) are continuing in service at the time of submission of application for registration.
- 4.3. If the results of Master's Degree are declared in the form of grades/credits/ cumulative points in their marks cards/transcripts, such grades/points shall be converted into percentage to assess the minimum eligibility criteria as specified above in Regulations 4.1 and 4.2.

## **05. Intake**

- 5.1. Each Research Supervisor/Guide shall guide not more than eight candidates at a time including guiding the candidates who have registered for Ph.D degree in other universities (acceptance of guide ship of other universities shall be with the permission of the University), out of which one seat each shall be reserved for (a) SC (b) ST / **Category-I** and (c) OBC candidates.
- 5.2. The seats reserved for SC and ST candidates shall be interchangeable (i.e., SC vacancy shall be filled with ST candidate and vice-versa, if there is no eligible candidate from SC or ST). However, they shall not be transferred to General Pool.
- 5.3. Department shall be taken as one unit for the purpose of reservation and each Supervisor shall be allotted one SC/ST/OBC candidates.

**06. Notification of Vacant Seats:** The University shall issue a notification inviting applications for Ph.D programme from the eligible candidates mentioning the number of seats available in each subject. The notification shall be issued as press note in leading newspapers and University Website once in a year preferably in the month of August.

**07. Submission of Applications and their Processing:** Applications by the eligible and interested candidates shall be submitted as follows.

- 7.1. Submission of application to the Dean of the concerned faculty (this application shall be coded as Application – A).
- 7.2. The Dean of the faculty, with the help of the Department Heads, shall arrange for (a) scrutiny of applications to determine the eligibility including that of the applicants who are eligible for exemption from Entrance Test, (b) conducting the Entrance Test and evaluation – get the question papers set from the Faculty Council, conduct the test, get the answer scripts valued by the faculty Council, (c) prepare the consolidated list of applicants, and (d) arrange for pre-registration colloquium.
- 7.3. Upon completion of the Entrance Test and pre-registration colloquium, the candidates (including those who are exempted from Entrance Test) shall submit another application (Application – B) along with the Research Proposal/Outline to the chairperson of the concerned P. G Department for Provisional Registration for Ph.D programme after paying the requisite fee to the University.

- 7.4. The Dean of the Faculty shall send the applications along with the recommendation of the Doctoral Committee to the Director (Research) who shall forward them to the University for Provisional Registration.
- 7.5. After the completion of the course work, the successful candidates shall submit the final application (Application – C) to the Dean of the faculty who shall forward them to the University for confirmation of Registration for Ph.D programme.

## **08. Entrance Test**

- 8.1. All the applicants who possess at least the minimum percentage of marks at their P.G Degree as specified above in Regulations 4.1 to 4.3 shall appear for the Entrance Test.
- 8.2. However, (a) foreign and sponsored candidates, (b) University or College teachers on FIP/QIP, (c) candidates who have cleared the UGC/UGC-CSIR JRF Test/ NET/ GATE/ GRE and who have already possess M.Phil in concerned subject or one Ph.D degree in any subject or discipline, shall be eligible for exemption from the Entrance Test.
- 8.3. The syllabus for the Entrance Test and other details pertaining to the Entrance Test shall be finalized by the Faculty Council.
- 8.4. All the Departments shall consider the following for conducting Entrance Test.
  - 8.4.1. **Maximum marks for Entrance Test:** 100.
  - 8.4.2. **Nature of questions:** Multiple answer questions and One essay type question in Concerned/related Discipline.
  - 8.4.3. **Syllabus for Entrance Test:** Research Methodology and cognate/core subjects of the Faculty conducting the Entrance Test.
  - 8.4.4. **Number of Questions:** 80 questions, each carrying 1 mark, 40% of the questions shall be from Research Methodology and Research Aptitude, which includes language proficiency in Sanskrit for 10 marks and the remaining 60% from the cognate/core subjects of the faculty. The essay in concerned subject should carry 20 marks. The Faculty Council shall prepare the course inputs for the Entrance Test.
  - 8.4.5. **Duration of Entrance Test:** 120 minutes.

## **09. Preparation of Merit List of Successful Candidates**

- 9.1. After the Entrance Test, Consolidated Merit List of all the candidates (including those who are exempted from Entrance Test) shall be prepared as per Merit cum Reservation Policy on the basis of the marks obtained in the entrance test, interview and marks obtained in the qualifying examination in the ratio of 50:50. However, the candidate has to secure overall 50% marks (45% for SC/ST/Cat.I)
- 9.2. The candidates who have cleared the UGC/UGC-CSIR National Eligibility Test for Junior Research Fellowship/GATE/GRE and the teachers on FIP/QIP shall be placed first in the Consolidated Merit List based on their percentage of marks secured by them at their qualifying examination **and interview in the Ratio of 50:50.**

- 9.3. Names that appear in the Consolidated Merit List shall be considered for the present vacancies only.

## **10. Procedures for Recognition of Research Supervisor/Guide**

- 10.1. All Professors, Readers/Associate Professors in P.G Departments of the University, University Librarian and Deputy Librarians, Director and Deputy Directors of Recognized research centers of the University with Ph.D Degree who are working on regular basis shall be eligible to supervise Doctoral candidates.
- 10.2. Assistant Professors/Lecturers in the Post-Graduate departments of the University who have doctoral degree in the relevant subject and at least three years of independent research experience after the Ph.D degree as evidenced by publications in refereed/reputed journals shall be eligible to be recognized as Research Supervisors/Guides in their subjects provided they have at least three years of teaching experience on regular basis and a minimum of five publications in reputed journals. Further, Assistant Librarians and Assistant Professors/ Assistant Directors of Research Centers of the University with Ph.D shall also be eligible, provided they have permanent teaching or research experience of three years after obtaining PhD degree and a minimum of five publications in reputed journals.
- 10.3. Teachers, Librarians and Directors of Research Centers with Ph.D Degree working in the affiliated/constituent colleges of the University which are recognized by the University as Research Centres and having permanent teaching or research experience of three years after obtaining Ph.D shall also be eligible for recognition as Research Supervisors provided they have at least five publications in refereed/ reputed journals.
- 10.4. Scientists/Professionals/Adjunct faculty working in recognized research institutions, recognized as Research Centers by the University, who have doctoral degree in the concerned/related discipline and having at least three years of independent research experience after obtaining Ph.D degree and a minimum of five publications in reputed journals shall also be eligible for recognition as Research Supervisors. However, no University shall recognize a college/institution affiliated to another University as its Research Centre.
- 10.5. In all the above cases (Regulations 10.1 to 10.4), the Board of Studies shall satisfy itself that the person has a sustained record of independent Post-Doctoral Research and Publications.
- 10.6. A Research Supervisor shall not opt to become co-supervisor for more than five candidates.
- 10.7. In the cases of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G Department of the University.

## **11. Allotment of Research Supervisor/Guide**

- 11.1. After the finalization of Consolidated Merit List but before the Pre-registration Colloquium, the Dean of the Faculty / Chairperson of the P. G Department shall convene a meeting of the Faculty/Department Council to allot the candidates to the Research Supervisors based on the merit list, specialization stream of the candidate and the

Research Supervisor, and the willingness of both the Research Supervisor and the Candidate.

11.2. After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title and proposal in consultation with the Research Supervisor for presentation before the Doctoral Committee.

## **12. Change of Research Supervisor/Guide**

12.1. Generally, change of Research Supervisor/Guide shall not be entertained and permitted.

12.2. Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the Supervisor, the change of Research Supervisor shall be permitted by the University at the request of the Candidate and on the recommendation of the Dean of the faculty and the chairperson of the P.G Department.

12.3. In case of any conflict between the Research Supervisor and the Candidate, the Dean/Chairperson of the P. G Department, on the receipt of request letter either from the Candidate or from the Research Supervisor or both, shall refer the matter to the Doctoral Committee. The Doctoral Committee shall examine the case and send its report/recommendation to the Dean of the faculty who in turn shall forward it to the Vice Chancellor. The decision of the Vice Chancellor shall be final in this regard.

## **13. Doctoral Committee**

13.1. There shall be a subject-wise Doctoral Committee constituted by the University for the purpose of smooth conduct of Ph.D programme. The Committee shall comprise,

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|---|----------|
| • Dean of the Faculty                                     | Chairman |
| • Chairman of P. G Board of Studies:                      | Member   |
| • Chairman of Department:                                 | Member   |
| • Concerned Research Supervisor/co-supervisor:            | Member   |
| • One External Expert (nominated by the Vice-Chancellor): | Member   |

13.2. The Doctoral Committee shall meet at least twice a year.

## **14. Duties and Responsibilities of Doctoral Committee**

14.1. To conduct the pre-registration colloquium and to recommend for the approval of the Board of Studies.

14.2. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairperson of the P. G Department.

14.3. To conduct the pre-submission colloquium and to accord permission for the submission of the thesis.

14.4. To consider the request of the candidate for the change of title of the thesis on the recommendations of the Supervisor and to recommend to concerned Board of Studies.

14.5. To carry out such other duties as the University may entrust to the Committee from time to time in connection with the PhD Programme.



## **15. Research Proposal/Outline**

- 15.1 Every selected candidate shall prepare and submit a Research Proposal/ Outline to the Chairperson of the P. G Department, who in turn shall arrange for the meeting of Doctoral Committee and to place it before the Doctoral Committee. This is mandatory for all the selected candidates including those who are exempted from the Entrance Test.
- 15.2. The candidate shall prepare the Research Proposal/Outline defining clearly the objectives, methodology, literature survey, work plan and relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.
- 15.3. The Doctoral Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the Committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Supervisor.
- 15.4. If the performance and/or preparedness of the candidate is not satisfactory, the Doctoral Committee shall give one more chance to the candidate to prepare for the colloquium again within three months from the date of the first colloquium. If the candidate fails even in the second attempt, he/she shall not be eligible for Provisional Registration.
- 15.5. All the applications which are cleared and recommended by the Doctoral Committee shall be sent to the Dean by the Chairperson of the P.G Department for obtaining the approval .
- 15.6. The concerned Board of Studies shall scrutinize the research proposals, and accord its approval or otherwise – if the Board is not satisfied with the title of the research work, it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, attend to them and resubmit the proposal to the chairperson of the P. G Department.
- 15.7. On receipt of the research proposals approved by the Board, the Chairperson of the P. G Department shall forward them with Application - B to the Director of Research wing of the University for Provisional Registration.
- 15.8. All the candidates who are given Provisional Registration shall take up and complete the prescribed course work.

## **16. Course Work**

- 16.1. After Provisional Registration, all the registered candidates shall take up the course work in the respective Faculty/P.G Department/ College/ Institution for a maximum period of six months, and this shall be compulsory for both full time and part time candidates. The candidates with M.Phil/PhD are exempted from this course work.
- 16.2. The course work for Ph.D programme shall comprise of three papers of 100 marks each, viz., Course – I: Research Methodology, Course – II: Cognate/ core Subject/s and Course – III: Field of Specialization. This shall be followed by comprehensive viva-voce for 50 marks. However, it shall be noted here that Courses – I and II are common for all the candidates in a particular Faculty/Department/Subject/Discipline.

- 16.3. Each Course shall have 48 contact hours. Classes for Courses – I and II shall be arranged by the Dean/the chairperson of the P. G Department/Head of the recognized research centre, and that of Course – III by the concerned Research Supervisor. Both the full-time and part-time candidates shall attend atleast 75% of the classes in each paper to be eligible to appear for the examination.
- 16.4. The candidates who fail to attend a minimum of 75% of the classes in each of the Courses shall not be eligible to appear for the examination and to obtain the confirmation of registration for Ph.D programme, and they have to attend the classes again with the next batch.
- 16.5 The following shall be the Structure of Course Work for Ph.D Programme.

Sl. No	Name of the Course	Contact Hours per Week	Maximum Marks			Examination Hours
			Continuous Assessment	Course-end Examination	Total	
01	Course - I: Research Methodology	03	25	75	100	03
02	Course - II: Cognate/ Core Subject	03	25	75	100	03
03	Course - III: Field of Specialization	03	25	75	100	03
Total			75	225	300	
Viva Voce					50	

- 16.6. Continuous Assessment Marks of the course work shall be awarded by the course teacher based on (a) Assignments – 5 marks, (b) Review of Literature – 5 marks, (c) Seminar – 5 marks, and (d) Tests – 10 marks.
- 16.7. The concerned Board of Studies (PG) shall prepare and approve the course inputs for each of the three courses.

## 17. Examination and Evaluation of Answer Scripts

- 17.1. There shall be a Board of Examiners (Ph.D) constituted by the Registrar (Evaluation), with the approval of the Vice Chancellor, based on the panel of examiners approved by the Board of Studies.
- 17.2. The chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by BoE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The Dean/ the chairperson of the P.G Department shall conduct the examination for all the candidates (i.e., including those who are working for Ph.D in other institutions) in the faculty/ the Department.
- 17.3. There shall be Course-end Examination of three-hour duration (for 75 marks per Course). Each answer script of the Course-end Examination shall be coded and assessed by two examiners (preferably, one internal Course Teacher and another external). The marks awarded to the answer script shall be the average of these two evaluations.

17.4. If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third external examiner. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.

**18. Minimum Pass Marks and Improvement Examination:**

18.1. Minimum for pass in each written paper shall be 40% in the course end examination and 50% in aggregate including the continuous assessment marks. However, there shall be no minimum for viva-voce. But every candidate shall compulsorily attend the viva-voce examination. The results shall be declared only on the basis of three courses.

18.2. Failed candidates shall be allowed to take only one improvement examination within three months of their first examination. In case of failure of the candidate even after an improvement examination, his/her Provisional Registration shall get cancelled.

**19. Confirmation of Registration**

19.1. After declaring the result, the successful candidate shall submit another application (Application- C) to the Dean of the faculty through the Chairperson of the P.G Department for forwarding to the University for issue of notification of confirmation of registration.

19.2. University shall issue the necessary notification within fifteen days from the date of receipt of the letter from the Faculty/Department confirming the registration which is in the form of permission to start working on the thesis.

**20. Place Of Course Work and Research Work:**

20.1. All the provisionally registered candidates shall complete the course work in faculty / the P. G Department of the University or in the Laboratory/ Institution or the Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates - full-time, part-time, sponsored, foreign, JRF candidates, etc.

20.2. Part time candidates shall work for at least 105 working days per year in the Faculty / Department of the Research Supervisor.

**21. Progress Reports**

21.1. After the completion of the course work and the confirmation of the registration, every candidate shall submit half-yearly progress report regularly through the Research Supervisor to the chairperson of the P.G Department who shall place it before the subsequent meeting of the Doctoral Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as the review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, etc

21.2. Besides, the candidate shall make, once in a year (preferably during the meeting of Doctoral Committee in the month of January), presentation about the progress made by him/her during the last one year and also the work plan for the next one year.

21.3. If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two half-year periods, the Doctoral

Committee shall recommend to the University for the cancellation of his/her registration in consultation with the concerned Research Supervisor. Before cancellation, a show-cause notice shall be issued to the candidate.

## **22. Validity Period of Registration**

- 22.1. Every full time candidate shall take a minimum of three years and maximum of five years, and every part time candidate shall take a minimum of three years and a maximum of six years from the date of Provisional Registration (i.e., from the date of commencement of course work) to submit his/her thesis.
- 22.2. In exceptional cases, extension beyond the above maximum period may be granted by the University (with the approval of the VC) on the recommendation of the Doctoral Committee and the Research Supervisor for justifiable reasons for a period of one more year after the candidate applies before the expiry of the period and pays the prescribed fee.
- 22.3. Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate shall make an appeal before the expiry of the period(after paying the extra fee as prescribed by the University) to the chairperson of the P.G Department through the Research Supervisor, and the chairperson of the P.G Department shall refer the same to the Doctoral Committee for its opinion. The opinion of the committee shall be submitted to the Vice-chancellor by the chairperson of the P.G Department for consideration and the decision shall be final and limited to only one year. Candidates who fail to submit the thesis even after the extended period shall repeat the programme by registering again.
- 22.4. A part time candidate may be permitted to work on full time basis on valid grounds. The period of such registrations shall be three years from the date of changeover or four years from the date of registration, whichever is earlier. A full time candidate may also be permitted to work on part time basis, but the period of such registration shall be four years from the date of original registration.
- 22.5. A candidate may be permitted to change the title of his/her thesis up to three years from the date of registration. In exceptional cases Vice Chancellor is empowered to allow the candidate to change the title with valid reasons on the recommendations of the Dean and Chairman of the Doctoral committee and Research Supervisor.

## **23. Submission of Thesis**

- 23.1. Subject to the above minimum and maximum period for submission of thesis, every candidate, six months prior to the probable date of submission of thesis, shall submit an application along with 20 copies of synopsis of the thesis through the Research Supervisor to the Dean of the faculty/ Chairperson of P.G Department for permission to submit the thesis. The Chairperson shall arrange to place the candidate's application and synopsis before the meeting of the Doctoral Committee provided that the Researcher has published two research papers in the journals of repute (including the papers accepted for publication) and/or obtained one patent based on his/her research work. He/she is required to produce reprint/galley proof/proof of acceptance of research paper/patent to the Doctoral Committee during his/her pre-submission colloquium.
- 23.2. Further, the candidate who has submitted the application for permission to submit thesis shall present a pre-submission colloquium before the Doctoral Committee demonstrating his/her preparedness to submit the thesis.

- 23.3. Considering the work of the candidate, his/her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Doctoral Committee shall permit the candidate to submit the thesis.
- 23.4. If the Committee is not satisfied with the work of the candidate, it may, in consultation with the Research Supervisor, recommend for improvements. In this case, the candidate shall appear for pre-submission colloquium again before the Doctoral Committee within three months.
- 23.5. Every candidate shall (not later than six months after obtaining permission from the Doctoral Committee for submission of thesis) submit four copies of the thesis to the Registrar (Evaluation) through the supervisor and the chairperson of the P.G Department/ Dean of the Faculty concerned. The candidate shall also pay the prescribed submission fee, and produce “No Due Certificates” from the Chairperson of the P.G Department/ Head of the Institution concerned, University Librarian and Hostel Warden.
- 23.6. The candidate shall, after the viva-voce examination, incorporate the suggestions made by the adjudicators and also the summary of viva-voce examination into his/her thesis, and submit two more copies and a soft copy (Editable form and Pdf) to the Registrar (Evaluation) for sending them to the University Library (both the hard and soft copies of the thesis) and to the Government authorities (only hard copy).

#### **24. Adjudication of Ph.D Thesis**

- 24.1. After the approval of the final synopsis by the Doctoral Committee, the chairperson of the P.G Department shall write to the Dean of the faculty and the Chairperson of the BoS. The Dean and Chairperson of BoS shall send the approved panel to the Registrar (Evaluation) by name.
- 24.2. For the adjudication of Ph.D thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-chancellor from a panel of ten examiners prepared and approved by the Board of Studies and Faculty.
- 24.3. The panel of adjudicators prepared and approved by the Faculty/ Board of Studies shall include only experts in the concerned field and not below the cadre of Readers/Associate Professors, or senior scientists/academicians who possess Ph.D in the concerned discipline/subject and have evidence of research experience in the field of research. Further, out of ten experts, five shall be from within Karnataka and five shall be preferably from universities/institutions outside Karnataka and/or foreign universities/institutions (if the Research Supervisor prefers foreign adjudicator, he/ she shall intimate the same to the Chairperson of P.G Department who in turn shall pass it on to the chairperson of BoS).
- 24.4. Each adjudicator appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation), marking a copy to the Dean or the chairperson of Board of Adjudicators, within two months from the date of receipt of the thesis.
- 24.5. Each adjudicator’s report on the thesis shall include the following,
  - A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.

- Details of any question/s to be asked to the candidate or points to be clarified by the candidate at the open viva-voce examination.
  - A definite recommendation as to whether the Ph.D Degree should be awarded or not.
  - The adjudicators shall also be required to answer specifically the following.
    - Whether the Ph.D Degree can be awarded on the basis of the thesis as presented?
    - If the answer is **no**, whether the thesis can be resubmitted after revision/corrections? or a definite recommendation against the award of the Degree.
    - Whether the thesis is fit for publication with or without revision?
- 24.6. If all the reports are positive/favourable, the Registrar (Evaluation) shall take necessary steps and inform the Dean of the faculty and the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the open viva-voce examination inviting one of the external adjudicators approved by the Vice-chancellor.
- 24.7. If one of the examiners makes a qualified recommendation with valid reasons and recommends for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the concerned candidate, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication. In this case, the modified thesis shall be sent to the same adjudicator who recommended for re-submission.
- 24.8. No candidate shall, however, be permitted to resubmit the thesis more than once.
- 24.9. If one of the adjudicators makes a definite recommendation against the award of the Degree, the thesis shall be referred to another external adjudicator. If the report of such adjudicator is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise (i.e., even if the third external adjudicator recommends against the award of Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate.
- 24.10. If both the external adjudicators make definite recommendations against the award of PhD Degree, the thesis shall be rejected.

## **25. Viva-Voce Examination**

- 25.1. On the receipt of the favourable/satisfactory reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor. The Board shall comprise the following.
- Research Supervisor : Chairperson
  - One external Adjudicator (nominated by Vice-chancellor): Member

- Co-Research Supervisor, if any: Member
- Dean of the Faculty : Member
- Chairman of the BoS: Member
- Chairman of the P. G Department of the University: Member

25.1.1 In case where the Research Supervisor cannot attend the viva-voce examination, then the co-supervisor or external adjudicator shall be made the chairperson. However, a written consent from the Research Supervisor and prior approval from the Vice-chancellor shall be mandatory.

25.1.2 Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-chancellor shall be competent to appoint another external substitute expert from out of the panel approved by the Board of Studies.

25.2. Besides the above, the chairperson of the Viva-voce Board shall invite the chairperson and members of Doctoral Committee, and send a circular to all the P. G Departments (including his/her own Department) inviting interested Faculty Members, Research Scholars and Students to attend the viva-voce examination.

25.3. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology employed and the competence in the field of research. Any of the points raised by the adjudicators shall be clarified during the viva-voce examination, and the report of the examiners shall be made available to the Board for Viva-voce examination.

25.4. In the case of candidates who are abroad and unable to be present physically, viva-voce examination may be held through video-conference mode as described above (with open viva) after the candidate pays the additional fees prescribed by the University from time to time.

25.5. The Report of the Open Viva-voce examination shall be signed by only the Research Supervisor and the External Examiner.

25.6 If the performance of the candidate in viva-voce examination is not satisfactory, he/she may be allowed one more chance to reappear for the vive-voce within three months.

## **26. Award of Ph.D Degree**

26.1. After the successful completion of the open viva-voce examination by the candidate, the chairperson, Viva-voce Board, shall prepare and send the minutes of the viva-voce meeting (minutes shall be signed by the chairperson and external member) based on the candidate's performance in the viva-voce examination recommending to the University, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate.

26.2. Along with the Degree, the degree awarding University shall issue a provisional certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2009.

**27. Depository with UGC:** Following the successful completion of the evaluation process and announcement of the award of Ph.D, the University shall submit a soft copy of the Ph.D thesis to the UGC within a period of 30 days, for hosting the same in INFLIBNET, accessible to all Institutions/ Universities.

**28. Publication of Thesis**

28.1. After the award of Ph.D Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission of the University, giving due credit to the Research Supervisor. Two copies of the published works shall be submitted to the University Library. He can seek the permission submitting an application to the Dean or to the Chairperson of the Department concerned. The permission is given by the Vice Chancellor on the recommendation of the Dean of the faculty.

28.2. After the award of the Ph.D Degree, the thesis or any publication derived from the thesis work shall be the property of the University. The University shall rightfully share with the candidate and Supervisor for any copyright, patent or recognition to the thesis. However, IP rights are reserved with the candidate and supervisor on sharing basis.

28.3. **If a thesis is proved to be a copy/ plagiarism/ academic misrepresentation, the University has powers to rescind the degree.**

**29. Prohibition for doing Ph.D.**

**The statutory officers and nominated members of the authorities of the University are prohibited from registering for Ph.D degree in the same University during their tenure.**

**30. Repeal**

All deputed regarding any rule or its interpretation may be brought to the notice of the Vice Chancellor for a solution. His decision will be final.